

11-26-01

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. **823.0090USQ**

Anticipated Classification of this Application

Class: Subclass:

Prior Application: **09/377,065** filed **August 19, 1999**

Examiner: Art Unit:

1c978 U.S. PRO  
09/992551

11/06/01

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is a request for filing a XXX continuation-in-part \_\_\_\_ continuation \_\_\_\_  
divisional application under 37 CFR 1.53(b), of pending prior application, Serial No.  
**09/377,065** filed on **August 19, 1999** of **Xumu Zhang** for **ASYMMETRIC  
CATALYSIS BASED ON CHIRAL PHOSPHOLANES AND HYDROXYL  
PHOSPHOLANES** .

The filing fee is calculated below:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT BELOW				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$740.00
TOTAL CLAIMS	42 - 20 =	22	x \$18.00	\$396.00
INDEPENDENT CLAIMS	6 - 3 =	3	x \$84.00	\$252.00
MULTIPLE DEPENDENT CLAIM FEE			x \$270.00 = \$0	
TOTAL FILING FEE			\$1388.00	
1/2 FILING FEE FOR SMALL ENTITY			\$694.00	

- XX No fee enclosed – filing by missing parts.
- \_\_\_\_ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.
- \_\_\_\_ Cancel in this application original claim(s) \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- \_\_\_\_ A new oath or declaration, new formal drawings and an affidavit or declaration verifying it as a true copy is enclosed.

5. \_\_\_\_\_ Amend the specification by inserting before the first line the sentence: ---  
This is a \_\_\_\_\_ continuation, \_\_\_\_\_ division, of application serial no. \_\_\_\_\_,  
\_\_\_\_\_ filed \_\_\_\_\_.

6. **XXX** The prior application is assigned of record to:  
**The Penn State Research Foundation**

7. **XXX** The power of attorney in the prior application is to: **Paul D. Greeley**  
a. The power appears in the original papers in the prior application.  
b. Address all future communications to :**PAUL D. GREELEY, ESQ.**  
**OHLANDT, GREELEY, RUGGIERO & PERLE, L.L.P.**  
**ONE LANDMARK SQUARE, 10<sup>th</sup> FLOOR**  
**STAMFORD, CONNECTICUT 06901-2682**  
**TELEPHONE: (203) 327-4500**  
**TELEFAX: (203) 327-6401**

8. \_\_\_\_\_ A preliminary amendment is enclosed. (Claims added by this amendment  
have been properly numbered consecutively beginning with the number next following  
the highest numbered original claim in the prior application.)

9. **XXX** Priority of U.S. Patent Application Serial No. **09/377,065** filed on  
**August 19, 1999** is claimed under 35 U.S.C. §120;

10. **XXX** Priority of U.S. Provisional Patent Application Serial No. **60/097,473**  
filed on **August 21, 1998** is claimed under 35 U.S.C. §119(e);

11. **XXX** The undersigned attorney has verified that the applicant is entitled to a  
Small Entity Status;

12. \_\_\_\_\_ I hereby verify that the attached papers are a true copy of prior  
application serial no. \_\_\_\_\_ as originally filed on  
\_\_\_\_\_.

The undersigned declares further that all statements made herein of his own  
knowledge are true and that all statements made on information and belief are believed  
to be true; and further that these statements were made with the knowledge that willful  
false statements and the like so made are punishable by fine or imprisonment, or both,  
under section 1001 of Title 18 of the United States Code and that such willful false  
statements may jeopardize the validity of the application or any patent issuing thereon.

11-6-01

(Date)

*Paul D. Greeley*

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### CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EV010449451US**, service under 37 CFR §1.10 and is addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231, on **November 6, 2001**.

Jeffrey J. Scepanski

(Typed name of person mailing paper)

*Jeffrey J. Scepanski*

(Signature of person mailing paper)

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